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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1210

oplicant:

Guy W. Miller

Examiner:

Unknown

erial No.:

10/686,129

Group Art Unit:

1615

rilea:

October 14, 2003

Docket:

13682.0002USC1

Confirmation

7630

Notice of Allow. Date:

No.:

Due Date:

Title:

NON-DIGESTIBLE SUGAR-COATED PRODUCTS AND PROCESS

## **CERTIFICATE UNDER 37 CFR 1.10:**

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Name: Lynnda Kraft

Mail Stop IDS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

23552

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

Transmittal Sheet in duplicate containing Certificate of Mailing

☐ Information Disclosure Statement, Form 1449, 19 Reference(s)

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Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300

Name: Denise M. Kettelberger, Ph.D.

Reg. No.: 33,924 DMK:lek S/N 10/686,129 \ PATENT

## IN THE COMPANY OFFICE

Applicant: Guy W. Miller

Examiner:

Unknown

Serial No.:

10/686,129

Group Art Unit:

1615

Filed:

October 14, 2003

Docket No.:

13682.000002USC1

Title:

NON-DIGESTIBLE SUGAR-COATED PRODUCTS AND PROCESS

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Stop IDS, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Lynnda Kraft

## INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop IDS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Enclosed for the Examiner's information is a copy of the International Search Report. Applicant(s) note(s) reference(s) US 4,927,811 and US 6,200,605 also listed in the search report was/were previously cited to or by the Examiner. At least some of the references were recently cited in an International Search Report mailed September 16, 2002.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. §1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

Date: <u>20 October 2001</u>

23552

PATENT TRADEMARK OFFICE

Denise Kettelberger, Ph.D.

Reg. No. 33,924

DMK:lek

Date Mailed: October 20, 2004 Sheet 1 of 3

| FORM 14492 INFORMATION DISCLOSURE STATEMENT | Docket Number:<br>13683.0002USC1 | Application Number: 10/686,129 |  |
|---|----------------------------------|--------------------------------|--|
| IN AN APPLICATION                           | Applicant: Guy W. Miller         |                                |  |
| (Use several sheets if necessary)           | Filing Date: October 14, 2003    | Group Art Unit: 1615           |  |

|                     | ·            | U            | I.S. PATENT DOCUME                                     | NTS               |                      |                   |                 |
|---------------------|--------------|--------------|--|-------------------|----------------------|-------------------|-----------------|
| EXAMINER<br>INITIAL | DOCUMENT NO  | DATE         | NAME   | CLASS             | SUBCLASS             | FILING<br>IF APPR | DATE<br>OPRIATE |
|                     | 4,681,771    | 07/21/1987   | Adachi et al.  |                   |                      |                   |                 |
|                     | 4,927,811    | 05/22/1990   | Quarles  |                   |                      |                   |                 |
|                     | 5,032,579    | 07/16/1991   | Speights et al.  |                   |                      |                   |                 |
|                     | 5,219,842    | 06/15/1993   | Okada et al.   |                   |                      |                   |                 |
|                     | 5,576,020    | 11/19/1996   | Iritani et al.   |                   |                      |                   |                 |
|                     | 5,688,777    | 11/18/1997   | Garleb et al.  |                   |                      |                   |                 |
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| •                   | 5,827,526    | 10/27/1998   | Dohnalek et al.  |                   |                      |                   |                 |
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| •                   | 6,194,379 B1 | 02/27/2001   | McEwen et al   |                   |                      |                   |                 |
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|                     | 6,475,540 B1 | 11/05/2002   | Howling et al.   |                   |                      |                   |                 |
|                     |              | FOR          | EIGN PATENT DOCUM                                      | MENTS             |                      |                   |                 |
|                     | DOCUMENT NO  | DATE         | COUNTRY  | CLASS             | SUBCLASS             | TRANS             | LATION          |
|                     |              |              |  |                   |                      | YES               | NO              |
|                     |              |              |  |                   |                      |                   |                 |
| ·                   | ОТН          | ER DOCUMENTS | (Including Author, Title,                              | Date, Pertinent I | Pages, Etc.)         |                   |                 |
|                     | i I          |              | J. Clin. Nutr., Vol. 73 (sup of survival and growth in |                   | 95S                  |                   |                 |
|                     | "Short       |              | nal of Nutrition, Vol. 129, osaccharide Administration |                   | ntly Increases Fecal | Bifidobacter      | ia in           |

| EXAMINER | DATE CONSIDERED |
|----------|-----------------|
|          |                 |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

| FORM 1449* INFORMATION DISCLOSURE STATEMENT | Docket Number:<br>13683.0002USC1 | Application Number: 10/686,129 |  |
|---|----------------------------------|--------------------------------|--|
| IN AN APPLICATION                           | Applicant: Guy W. Miller         |                                |  |
| (Use several sheets if necessary)           | Filing Date: October 14, 2003    | Group Art Unit: 1615           |  |

| Campbell et al., 1997, <i>J. Nutr.</i> , Vol. 127, pp. 130-136  "Selected Indigestible Oligosaccharides Affect Large Bowel Mass, Cecal and Fecal Short-Chain Fatty Acids, pH and Microflora in Rats."  |
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| Cummings et al., 2001, Am. J. Clin. Nutr., Vol. 73 (suppl.), pp. 415S-420S  "Prebiotic digestion and fermentation."  |
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|----------|-----------------|

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Date Mailed: October 20, 2004 Sheet 3 of 3

| FORM 1449* INFORMATION DISCLOSURE STATEMENT | Docket Number:<br>13683.0002USC1 | Application Number: 10/686,129 |  |
|---|----------------------------------|--------------------------------|--|
| IN AN APPLICATION                           | Applicant: Guy W. Miller         |                                |  |
| (Use several sheets if necessary)           | Filing Date: October 14, 2003    | Group Art Unit: 1615           |  |

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| Simon et al., 1984, Gastroenterology, Vol. 86, pp. 174-193 "Intestinal Flora in Health and Disease."   |
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| Copy of PCT International Search Report dated 09/16/2002 for International Application No. PCT/US02/12323  |

23552

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